

The Honorable Commissioner of
Patents and Trademarks
Box Patent Application
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor: Douglas M. Sheffield, Jr.

For: VACUUM ACTUATED DISPLAY ORNAMENTS

1. This new application is for an original patent.
2. Papers enclosed which are required for filing date under
37 CFR 1.53(b) application

- 11 pages of specification
- 2 pages of claims
- 1 page of Abstract
- 5 sheets of drawing
 - ☐ Formal
 - ☒ Informal
- X Check # 2615 for \$385.00
- X Stamped, self-addressed return post card

3. Additional Papers Enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Authorization of Attorney(s) to Accept and Follow
Instruction from Representative
- ☐ Special Comments
- ☐ Other

4. Declaration or Oath

- ☒ Enclosed, executed by (check all applicable)
 - ☒ inventor
 - ☐ legal representative of inventor(s).
37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary
interest on behalf of inventor who refused to sign
or cannot be reached.

70595 U.S. PTO

08/19/97

08914628-084997

☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached.

☐ Not enclosed

☐ Application is made by a person authorized under 37 CFR 1.47(c) on behalf of all the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.

☐ Showing that the filing is authorized.

(Not required unless called into question. 37 CFR 1.41(d).)

5. Inventorship Statement

The inventorship for all the claims in this application is/are:

☒ The same
(or)

☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,

☐ is submitted.

☐ will be submitted.

6. Assignment

☐ An assignment of the invention to _____

☐ is attached.

☐ will follow.

7. Fee Calculation (37 CFR 1.16)

CLAIMS AS FILED

	Number Filed		Number Extra		Rate	Basic Fee \$770.00
Total						
Claims	5	- 20 =	0	x	\$ 22.00	\$ 0.00
Independent						
Claims						
(37 CFR 1.16(b))	1	- 3 =	0	x	\$ 80.00	\$ 0.00
Multiple dependent						
claim(s), if any						
(37 CFR 1.16(d))			0	x	\$260.00	\$ 0.00

☐ Fee for extra claims is not being paid at this time.

(37 CFR 1.16(d))

Note: If the fees for extra claims are not paid on filing, they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency.
37 CFR 1.16(d).

Filing Fee Calculation \$ 770.00

8. Small Entity Statement

- ☐ Verified Statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27 will follow.

Filing Fee Calculation (50% of above) \$ 385.00

9. Fee Payment Being Made At This Time

- ☐ Not enclosed.
☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☒ Enclosed.

- ☐ Basic fee \$ 385.00
☐ Recording assignment (\$40.00; 37 CFR 1.21(h)) \$ _____

Total fees enclosed \$ 385.00

10. Method of Payment of Fees

- ☒ Check in the amount of \$ 385.00.
☐ Charge Account No. _____ in the amount of \$ _____. A duplicate of this transmittal is attached.

Respectfully submitted,

PEOPLES & HALE

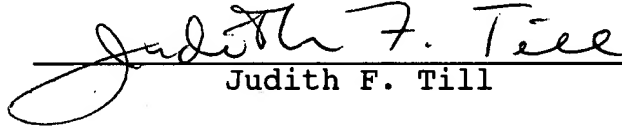
Date: 8/19/97

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08914625-081997

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this 19th day of August, 1997, in "Express Mail" envelope, label no. EI578020559US, addressed to the: Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.


Judith F. Till

11082.3jft

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